Campus Programme

3 Days

December 14 – 16, 2026

Compliance for Banks

Programme Directors
Prof. Rajesh Ramakrishnan
Dr. Punita Rajpurohit



National Institute of Bank Management

Introduction

Compliance is one of the most crucial issues in the financial industry today. Internationally, regulators have been increasingly scrutinising and monitoring financial institutions to ensure compliance with various statues and regulations. Banks are required to ensure compliance to various statutes, regulations and norms.

The Reserve Bank of India lays significant emphasis on the assessment of the compliance function of banks under the Risk-Based Supervision approach. The importance of this function has also been emphasised by BIS which has said that "Compliance starts at the top". A bank should hold itself to high standards when carrying on business. Failure to consider the impact of its actions on its shareholders, customers, employees and the markets may result in significant adverse publicity and reputational damage, even if no law has been broken.

Compliance management has assumed more importance owing to repeated instances of regulatory penalties on banks and financial institutions on account of compliance failures. During 2025-26, RBI imposed 241 monetary penalties aggregating Rs. 26.33 crore on regulated entities for regulatory contraventions/non-compliance. Banks have therefore started paying more attention towards ensuring compliances and improving systems and procedures such that the cost of non-compliance or compliance failure is minimised.

Objectives

This programme is designed to provide an understanding of significant regulations and practical aspects of operations in compliance departments.

The programe aims to:

- Understand the role and expectations from bank's compliance function.
- Identify key compliance risks across credit, KYC, FEMA, priority-sector lending, digital banking and related areas.
- Enhance understanding of compliance monitoring, regulatory reporting, and use of audit findings



Contents





The course covers the following topics:

- ❑ Compliances and compliance culture
- ❑ Roles and responsibilities of compliance officers
- ❑ Basel norms, risk governance and risk related compliances
- ❑ KYC compliances
- ❑ FEMA related compliances
- ❑ Issues of compliance in digital banking and cybersecurity
- ❑ Compliances in credit
- ❑ Connected Exposures and Credit Vigilance
- ❑ Compliance Testing & Regulatory Reporting

Pedagogy

The programme uses a practice-driven approach, featuring:

- ✓ Case Studies
- ✓ Group discussions and peer learning
- ✓ Insights from industry practitioners

The emphasis will be on understanding not only what the regulation requires, but how a compliance professional should respond.

A completion / participation certificate will be given to the participant at the end of the programme provided he / she attends the programme for the mandated hours of engagement.



Who Should Attend?

Junior and middle-level executives working in the compliance function of banks would benefit from this programme. It would also benefit officers whose responsibilities involve significant interaction with the compliance function.

When?

December 14 – 16, 2026

The participants are requested to report at the venue by the evening of December 13, 2026.

Where?

NIBM Campus, Kondhwe Khurd, Pune, India

Accommodation

The programme will be fully residential. Participants would be provided well-furnished, single-room AC accommodation in the Institute's hostel complex. If on-campus hostel accommodation is unavailable for some reason, participants will be housed in single occupancy AC rooms in a nearby decent hotel, with transport arrangements facilitated by the Institute. However, participants will not be permitted to bring their family members in the place of accommodation. In case of nomination of any Officer / Executive having physical / medical disability, kindly inform in advance with particulars of disability, to facilitate necessary arrangements. The Institute has facilities for outdoor and indoor games and a walking/jogging trail for physical fitness. Participants are therefore encouraged to bring appropriate clothes / gear.

Participants attending the Programme on campus will be subject to the prevailing guidelines for educational institutes as per the notifications by the government / civic authorities.



Nominations and Enquiries

Nominations are invited from both institutions and individuals from India and abroad. Executives working in banks / financial institutions / consulting firms / technology firms in the banking and financial services domain can also apply for the programme in their individual capacity.

Please address your enquiries regarding nominations and programme content to:

Programme Director

Prof. Rajesh Ramakrishnan

Adjunct Faculty

Prof Rajesh Ramakrishnan is a chartered accountant with more than twenty years' experience in the banking industry and close to four years in the area of academics. His areas of teaching and training include international trade comprising trade products and processes, instruments and regulations, supply chain finance – product and processes, KYC-AML and trade-based money laundering, and treasury products.

Email: r.rajesh@nibmindia.org; Tel: +91-20-6904 6134 (D); Mobile: +91 9764443504

Dr. Punita Rajpurohit

Assistant Professor

CMA Dr Punita Rajpurohit is Assistant Professor in the Finance Area at the National Institute of Bank Management, Pune. She is a qualified Cost and Management Accountant and holds a Ph.D. in Management, with research focused on financial reporting quality in India. Her interests and expertise in teaching, training and research are in the areas of Financial Accounting, Financial Statement Analysis, Management Accounting, Financial Reporting Quality, Earnings Management, Corporate Governance, IFRS/Ind AS, ESG and Forensic Accounting.

Email: punitarajpurohit@nibmindia.org; Tel: +91-20-6904 6127 (D); Mobile: +91 9825079473

Enquiries related to programme registration, accommodation, etc. may kindly be addressed to:

Programme Office

National Institute of Bank Management
NIBM Post Office, Kondhwe Khurd,
Pune 411048 (India)
Email: trainings@nibmindia.org / progofficer@nibmindia.org
Tel: +91-20-69046000 (Board) / 69046214 / 6166 / 6210 / 6211
WhatsApp: 7887884083 (Messages Only)
Website: www.nibmindia.org

REGISTER NOW

(In case of difficulty in accessing the link, kindly advise your IT department to whitelist nibmindia.org domain in the firewall)

Last date for receiving nominations: December 04, 2026

Last date to avail 'Early Bird Discount': November 29, 2026

5% 'Early Bird' discount for nominations received at least 15 days before the start date.

Discounts for bulk nominations:
5% for 6-10 nominations
10% for 11-19 nominations
15% for 20 nominations and above

Fees and Modes of Payment

US$ 1200 for foreign participant

FEE (Per Participant)	*Fee (₹)*	*GST*	*Fee+GST*	*TDS*
Member Banks	33000	5940	38940	3300
Non-Member Banks	39000	7020	46020	3900
Individual Nominee	39000	7020	46020	—



The fee includes the cost of tuition, teaching material, lodging facilities, etc. (GST @ 18 % and TDS @ 10%. Kindly send the TDS certificate on priority to NIBM).

As per the resolution of NIBM's 86th Finance Committee meeting held on January 31, 2023, all organisations / banks are required to make the full payment for all their nominations prior to the commencement of the respective programmes / workshops.

Note: The Foreign Bank Charges/SWIFT charges/ Commission is to be borne by the remitter. The fees mentioned in the brochure is to be paid to NIBM, net of all bank charges.

Modes of Payment

For Indian Participants

The fee may be transferred by RTGS/NEFT/ECS to our A/c No 20002400021 with Bank of Maharashtra, NIBM Branch, Pune (IFSC Code MAHB0001124). NIBM PAN No. AAATN0040P and GST No. 27AAATN0040P1ZJ.

For Foreign Participants (Mode of Remittance: SWIFT)

1. Name & Address of our Bankers	:	Punjab National Bank C-2, Shop No. 4-5, Bramha Estate Kondhwe Khurd, Pune 411 048 Maharashtra, India
2. Name of the Beneficiary	:	National Institute of Bank Management
3. NIBM's Bank Account No. with Punjab National Bank	:	11281131004402
4. Bank's Swift Code	:	PUNBINBBDIB
5. Preferred Currency	:	USD
6. Correspondent Bank of Punjab National Bank	:	WELLS FARGO BANK NA
7. Punjab National Bank A/c No. with Correspondent Bank	:	2000193007918
8. Swift Code with Wells Fargo Bank	:	PNBPUS3NNYC
9. IFSC	:	PUNB0108810

For all electronic/digital remittances, kindly send a confirmatory email to accounts@ nibmindia.org giving details of the remitter, participant, workshop dates, title of workshop, etc.

Payments will be accepted ONLY through electronic mode. Cheques / DDs / Pay Orders will not be accepted.